

APR 28 1999


**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/287,436	04/07/99	CAPLAN	C 65278

0242/0422

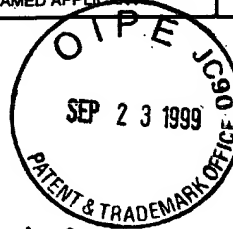
 JOHN S PANIAGUAS  
FITCH EVEN TABIN & FLANNERY  
120 SOUTH LASALLE STREET  
SUITE 1600  
CHICAGO IL 60603-4277

NOT ASSIGNED

2875

DATE MAILED:

04/22/99


*due 6/22/99*
**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1,196.

☐ 1. The statutory basic filing fee is:

- ☐
- missing.
- 
- ☐
- insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 594 for 33 total claims over 20.  
\$ 312 for 4 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐
- is missing or
- unsigned
- .
- 
- ☐
- does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☒ 9. OTHER: See Reissue Notice

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

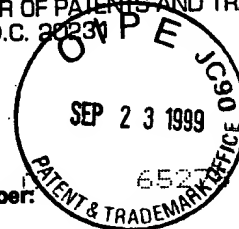
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02 FC:201 380.00 DP  
03 FC:202 156.00 DP  
04 FC:203 294.00 DP  
3.00 CH

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65.00 DP  
380.00 DP  
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294.00 DP  
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UNITED STATES DEPARTMENT OF COMMERCE  
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Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20230



09/287,436 04/07/99 CAPLAN

Application Number:

Application to Paper Number:

0242/0422

NOT ASSIGNED

JOHN S PANIAGUAS  
FITCH EVEN TABIN & FLANNERY  
120 SOUTH LASALLE STREET  
SUITE 1600  
CHICAGO IL 60603-4277

**REISSUE SUPPLEMENT TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION**

2875

04/22/99

This Reissue Supplement is an attachment to:

- ☒ "Notice to File Missing Parts of Application" Filing Date Granted (PTO-1533)
- ☐ "Notice to File Missing Parts of Application" No Filing Date Granted (PTO-1532)

The item(s) indicated below as missing must be filed within the period for reply to the attached Notice to File Missing Parts of Application to avoid abandonment.

The following items are required to complete the reissue application:

- ☒ Consent of the assignee is missing. 37 CFR 1.172 requires that the reissue oath/declaration be accompanied by the written consent of all assignees. *Unsigned*
- ☐ Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



REISSUE APPLICATION

Attorney Docket No. 65278

*3/2/99*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

For Reissue of Patent No. 5,667,291

Applicants: CHARLES H. CAPLAN AND  
FREDERICK N. BUSHROE

Filed: April 22, 1999

For: ILLUMINATION ASSEMBLY FOR  
DENTAL AND MEDICAL APPLICATION

Serial: 09/287,436

Group Art Unit: 2875

Examiner:

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

*9/24/99* *John F. Flannery*  
Date Registration No. *19759*  
Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS  
Commissioner of Patents and Trademarks  
ATTENTION: Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated April 22, 1999, enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) An executed Declaration for Patent Application, including Power of Attorney.
- ( ) Assignment(s) of the invention to \_\_\_\_\_, and Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- ( ) A check in the amount of \$\_\_\_\_\_ to cover the fee for recording the assignment(s).
- (X) Consent of Assignee.



## (X) Fee Calculation:

Fee Calculation For Claims As Filed

( ) Provisional Application Basic Fee \$ 150.00 \$ \_\_\_\_\_  
• Surcharge under 37 C.F.R. §1.16(1) \$ 50.00 \$ \_\_\_\_\_

or

(X) Non-Provisional Utility Application \$760.00 \$ 760.00  
Basic Fee

• Independent 7 - 3 = 4 x \$ 78.00 = \$ 312.00  
Claims

• Total Claims 53 - 20 = 33 x \$ 18.00 = \$ 594.00

• Fee for Multiply Dependent Claims x \$260.00 = \$ \_\_\_\_\_

• Surcharge under 37 C.F.R. §1.16(e) x \$130.00 = \$ \_\_\_\_\_  
or

( ) Design Application Basic Fee \$310.00 \$ \_\_\_\_\_

• Surcharge under 37 C.F.R. §1.16(e) \$130.00 \$ 130.00

Total Filing and/or Surcharge Fee \$ 1,790.00

(X) Small Entity Fee (reduced by half) \$ 895.00

(X) Statement(s) of Status as Small Entity.

(X) A check in the amount of \$ 895.00 to cover the Filing and/or  
Surcharge Fee is enclosed.

( ) Charge \$ \_\_\_\_\_ to Deposit Account No. 06-1135.

(X) The Commissioner is hereby authorized to charge any additional fees  
which may be required in this application under 37 C.F.R. §§1.16-1.17  
during its entire pendency, or credit any overpayment, to Deposit  
Account No. 06-1135. Should no proper payment be enclosed herewith,  
as by a check being in the wrong amount, unsigned, post-dated, other-  
wise improper or informal or even entirely missing, the Commissioner  
is authorized to charge the unpaid amount to Deposit Account  
No. 06-1135. This sheet is filed in triplicate.

Sept 21, 1999  
(Date)

John F. Flannery  
Registration No. 19,759

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